CHRONIC TOXICITY SUMMARY

PHTHALIC ANHYDRIDE

(1,3-isobenzofurandione; phthalic acid anhydride)

CAS Registry Number: 85-44-9

I. Chronic Toxicity Summary

Inhalation reference exposure level	**20 µg/m^3**
Critical effect(s)	Eye and respiratory irritation, asthma, and bronchitis in occupationally exposed workers
Hazard index target(s)	Respiratory system

II. Chemical Property Summary (HSDB, 1995; CRC, 1994)

Description	White or pale yellow crystals
Molecular formula	$C_8H_4O_3$
Molecular weight	148.11 g/mol
Boiling point	295°C
Melting point	130.8°C
Vapor pressure	5.14×10^{-4} torr @ 25°C; 1 torr @ 96.5°C
Solubility	Soluble in 162 parts water, 125 parts carbon disulfide; soluble in hot benzene
Conversion factor	1 µg/m^3 per ppb at 25ºC

III. Major Uses and Sources

The primary use of phthalic anhydride (PA) is as a chemical intermediate in the production of plastics from vinyl chloride. Phthalate esters, which function as plasticizers, are derived from phthalic anhydride. Phthalic anhydride has another major use in the production of polyester resins and other minor uses in the production of alkyd resins used in paints and lacquers, certain dyes (anthraquinone, phthalein, rhodamine, phthalocyanine, fluorescein, and xanthene dyes), insect repellents, and urethane polyester polyols. It has also been used as a rubber scorch inhibitor and retarder (HSDB, 1995; National Cancer Institute (NCI), 1979). The annual statewide industrial emissions from facilities reporting under the Air Toxics Hot Spots Act in California based on the most recent inventory were estimated to be 11,442 pounds of phthalic anhydride (CARB, 2000).

IV. Effects of Human Exposure

Symptoms in workers exposed to phthalic anhydride by inhalation in two plants (A and B) manufacturing alkyd and unsaturated polyester resins were studied (Nielsen *et al.*, 1988). Two groups of exposed workers were identified in each plant. One group worked directly loading the reactors from bags of phthalic anhydride ("heavy" exposure – 35 workers) and the other group was involved with "other work" which led to "low" exposure (25 workers). Mean employment times for the "heavy" and "low" exposure groups were 13.3 and 11.9 years, respectively. Time-weighted average air concentrations for workers from the loading of PA was 6.1 (range: 1.8-14.9) and 6.8 mg PA/m^3 (range: 1.5-17.4) in plants A and B, respectively. Similar exposure levels in both plants led to pooling of data. The exposure duration of the "heavy" group was estimated at approximately 30 minutes two times a day, corresponding to the time of loading, and resulted in a full-day time weighted exposure estimate of 0.4 mg PA/m^3. For those engaged in "other work" exposure levels were estimated at < 0.1 mg PA/m^3 (the limit of detection). Other chemicals in use in smaller amounts included maleic anhydride, isophthalic anhydride, and trimellitic anhydride. Comparison of symptom incidence between the "heavy" and "low" exposure groups included conjunctivitis (46% vs. 20%), rhinitis (40% vs. 20%), rhinoconjunctivitis (17% vs. 12%), asthma (17% vs. 0%), and chronic bronchitis (17% vs. 4%). Serum antibodies were measured in both groups of workers and compared to 22 nonexposed workers (employed at a food processing factory). The only significantly changed level was an increase in specific IgG in the "heavy" exposure group. A correlation was also noted between specific IgG level and exposure level, although not all individuals with elevated specific IgG reported symptoms.

In a study conducted at another plant manufacturing alkyd and/or unsaturated polyester resins, serum immunoglobulins and lung function were examined in 23 workers exposed to phthalic anhydride and 18 control subjects (Nielsen *et al.*, 1991). Estimated exposure levels were 6.6 mg PA/m^3 (range: 1.5-17) (Nielsen *et al.*, 1988). Workers were examined for sensitization to PA and other allergens and possible development of small airways disease. Among the exposed workers, there was significantly increased reporting of conjunctivitis and rhinoconjunctivitis. One worker showed an asthmatic response to anhydrides. No significant differences in lung function tests were observed between exposed and unexposed groups.

Symptoms in workers occupationally exposed to PA during the course of producing alkyd and/or polyunsaturated polyester resins were described (Wernfors *et al.*, 1986). Exposure estimates of breathing zone PA levels ranged from 3 to 13 mg/m^3 for workers engaged directly with the handling of PA. In other areas the estimated level was <0.3 mg/m^3. The study examined 48 workers who were employed at the time of the study and 70 former employees who responded to a survey of symptoms related to exposure. No unexposed control group was included in the study. Workers who were employed for at least two months reported symptoms of rhinitis (28%), chronic bronchitis (11%), and asthma (28%). Among a subset of 11 workers with asthma, 3 had positive skin tests for PA sensitivity. Bronchial provocation tests with 6 or 0.5 mg/m^3 PA for 5 or 10 minutes were positive in 2 workers.

V. Effects of Animal Exposure

Male albino rats (6/treatment group) were exposed to phthalic anhydride vapors at 0, 0.02, 0.2, and 1 mg/m^3 continuously for 45 days (Protsenko, 1970). After a two week recovery period the testes were examined for spermatozoa motility time as well as for ascorbic acid, dehydroascorbic acid, and nucleic acid content. Motility time was defined as the time it took for spermatozoa to cease motion completely under microscopic examination. Spermatozoa motility time was decreased ~50% in the 1 mg/m^3 dose group and ~25% in the 0.2 mg/m^3 dose group. Significant decreases in ascorbic acid and dehydroascorbic acid levels were found in animals exposed to 0.2 and 1.0 mg/m^3 phthalic anhydride, and dehydroascorbic acid levels were decreased in the 0.02 mg/m^3 dose group. At 1 mg/m^3, RNA levels and combined RNA and DNA levels were significantly increased over controls. No significant changes were observed in the 0.02 mg/m^3 dose group.

Five and six female Hartley guinea pigs were exposed to 0.05-0.2 mg/m^3 and 0.6-6 mg/m^3 phthalic anhydride dust, respectively, for 3 hours/day for 5 consecutive days (Sarlo and Clark, 1992). Exposures were expressed as ranges due to difficulty in regulating dust levels in the chambers. Sampling of dust showed particles were 65-80% < 10 μm diameter and had a mean mass diameter of 5.8-9.8 μm. Eight control animals were exposed to filtered air only. Two weeks after the last exposure, animals were challenged for 30 minutes with aerosolized PA-guinea pig serum albumin conjugate. All animals in the "high" dose group showed immediate bronchoconstriction and transiently increased respiratory rate. Animals in this dose group also showed elevated IgG antibody titers. No detectable increase in antibody levels was found in the "low" dose group.

Type I hypersensitivity was examined in female Hartley guinea pigs exposed to phthalic anhydride dust (Sarlo *et al.*, 1994). Two groups of 8 animals were exposed to 0.5 or 1.0 mg/m^3, and two groups of 16 animals were exposed to 0 (filtered air only) or 5.0 mg/m^3 phthalic anhydride dust (respirable size – 5 μm) in stainless steel chambers for 3 hours/day for 5 consecutive days. Groups of 8 animals from the control and 5 mg/m^3 groups were challenged after a two week recovery period for 30 minutes with 5.0 mg/m^3 phthalic anhydride dust. Respiratory data were collected using a plethysmograph from 30 minutes before the exposure to 60 minutes after the exposure. No significant difference (defined as a change of 3 standard deviations from the same parameter in the control animals) in respiration rate or plethysmograph pressures was found between the exposed and unexposed animals. Eight animals in each of the four exposure groups were also challenged after two weeks of recovery with 2.0 mg/m^3 aerosolized PA-guinea pig serum albumin (GPSA) conjugate as described above. Respiratory rate was increased in 4/8 of the high-dose group animals and 1/8 of the low-dose animals. Plethysmograph pressures were increased in 3/8 animals in the high-dose group and one animal each in the low- and mid-dose groups. Serum IgG antibodies to PA-GPSA were elevated in all exposed animal groups and the effect showed a dose-response. Passive cutaneous anaphylaxis testing for anti-phthalic anhydride-GPSA IgG1a immunoglobulins showed positive results for 3/8, 1/8, and 5/8 animals in the 0.5, 1.0, and 5.0 mg/m^3 dose groups, respectively. Results in control animals were not described. Three of eight animals in the highest dose group had >189 hemorrhagic foci in their lungs. No control animal had more than 2 such foci. No foci were

observed in animals challenged with albumin conjugate. Serum IgG titer correlated with the presence of these foci.

Slavgorodskiy (1969) studied the toxicity of phthalic anhydride to animals from inhalation exposure. Sixty white male rats (strain not reported; group distribution not stated, but presumed to be 15 animals/treatment group) were exposed in 100 L chambers to 0, 0.18, 0.54, and 1.52 mg PA/m^3 aerosol continuously for 70 days. General condition and behavior, body weight, motor chronaxy of flexor and extensor muscles (every 10 days), cholinesterase activity (every two weeks), and hematological parameters were monitored during the course of the study. (Chronaxy is the minimum time for which a current must flow, at a voltage twice the minimal current necessary to produce muscle stimulation, in order to cause a muscle to contract.) No changes in body weight or behavior were observed in the treated animals. In animals in the high-dose group, the chronaxy ratio of flexors and extensors differed from the controls beginning on day 31 of exposure and continued until two weeks after exposure ceased. Significantly decreased whole blood cholinesterase activity occurred in the high- and mid-dose groups, with the change occurring after 42 days of exposure. An increase in thrombocyte count occurred in the high- and mid-dose groups after 70 days of exposure, but returned to normal during the two-week recovery period. Thus, 0.18 mg/m^3 PA appears to be a NOAEL in this study.

A chronic feeding study was conducted with phthalic anhydride in rats and mice to evaluate the carcinogenicity of the compound (National Cancer Institute (NCI), 1979). F344 rats (50/sex/dose group plus 20/sex control animals) were treated with diet containing 0, 7500, or 15,000 ppm phthalic anhydride for 105 weeks (which corresponds to approximately 0, 300, and 600 mg/kg-day, assuming that food consumption is 4% body weight/day). Animals were monitored for changes in body weight and for survival, and, upon death or the end of the study, were examined histopathologically. The only group showing significantly lower body weights was male rats in the high-dose group after week 13. No significant change in mortality was observed. Adverse non-cancer effects observed in the dosed groups, but not in the control animals, included “arched back, rough hair coat, ulceration, and corneal opacity”, however, incidences were described as “low”. No significant histopathological effects were found to be associated with exposure to phthalic anhydride. B6C3F_1 mice (50/sex/dose group plus 20/sex control animals) were initially treated with diet containing 0, 25,000, or 50,000 ppm phthalic anhydride (approximately 0, 3000, and 6000 mg/kg-day, assuming that food consumption is 12% body weight/day). Because of excessive weight loss after week 32, exposure levels were reduced during the course of the study such that the time-weighted average exposure for males was 16,346 and 32,692 ppm and for females was 12,019 and 24,038 ppm phthalic anhydride. Evaluation of toxicity was conducted at 104 weeks as with the rats. Mean body weight was reduced in male and female mice in a dose-related manner. No other significant treatment-related adverse effects were observed in the mice.

Pregnant female CD-1 mice (10/dose group) were treated intraperitoneally with phthalic anhydride in 0.5%(w/v) carboxymethyl cellulose solution on gestational days 8-10 (Fabro *et al.*, 1982). Dosing was variable, beginning within the 95% confidence limits of the LD_{01} and progressing geometrically downward until no effect was observed. Animals were terminated on Day 18 and examined for teratogenic effects including fetal viability and number, resorption, and gross malformations. The 95% lower confidence limit on the dose producing teratogenicity

(grossly observable malformations and fetal internal malformations) in 5% and 50% of animals were 0.40 and 1.37 mmol/kg-day (59 and 203 mg/kg-day), respectively.

VI. Derivation of Chronic Reference Exposure Level (REL)

Study	Neilsen *et al.* (1988; 1991)
Study population	23 occupationally-exposed workers
Exposure method	Discontinuous occupational inhalation exposures
Critical effects	Increased incidence of conjunctivitis, rhinitis, asthma, and chronic bronchitis
LOAEL	6.5 mg/m^3 (mean of 6.1 and 6.8)
NOAEL	Not observed
Exposure continuity	8 hours/day, 5 days/week
Exposure duration	Mean of 13.3 years
Average experimental exposure	2.3 mg/m^3 for LOAEL group (6.5 mg/m^3 $\times$ 10/20 $\times$ 5/7)
LOAEL uncertainty factor	10
Subchronic uncertainty factor	1
Interspecies uncertainty factor	1
Intraspecies uncertainty factor	10
Cumulative uncertainty factor	100
Inhalation reference exposure level	0.02 mg/m^3 (20 μg/m^3)

Adverse effects were demonstrated to occur in humans occupationally exposed to phthalic anhydride in the workplace over long periods of time (Nielsen *et al.*, 1988). The symptoms reported primarily affected the respiratory system, with increased incidence of rhinitis, rhinoconjunctivitis, asthma, and chronic bronchitis. Conjunctivitis was also reported in exposed workers. Specific anti-PA IgG was significantly elevated compared to a non-exposed group. Increased incidences of rhinoconjunctivitis, conjunctivitis, or chronic bronchitis have also been reported in workers exposed to similar levels of PA dust (Nielsen *et al.*, 1991; Wernfors *et al.*, 1986). In these reports, adverse effects were clearly observed at the exposure level reported (6.5 mg PA/m^3; full-day time weighted exposure of 0.4 mg PA/m^3). Although symptoms were reported by Nielsen (1988) in the lower exposure level group, the significance is not clear since a true control group (unexposed workers) was not included in the symptomatology section of the study. The low exposure group's level of exposure was less than the detection limit for phthalic anhydride cited in the study, and this group was considered as a control group.

VII. Data Strengths and Limitations for Development of the REL

The strengths of the inhalation REL for phthalic anhydride include the use of human exposure data from workers exposed over a period of years. Major areas of uncertainty are (1) the uncertainty in estimating exposure, (2) the potential variability in exposure concentration, (3) the

potential low exposures of the group considered as controls, (4) potential confounding by exposures to other chemicals, (5) the limited nature of the study, (6) the lack of reproductive and developmental toxicity studies, and (6) the lack of observation of a NOAEL in the key study. Another area of uncertainty is the apparent 10-fold greater sensitivity to bronchoconstriction from PA exposure in guinea pigs (a model for human asthmatics) in comparison to occupationally exposed workers.

The study in rats by Protsenko (1970) identified a LOAEL of 0.2 mg/m^3 and a NOAEL of 0.02 mg/m^3 for decreased sperm motility. However, this result from 1970 has not been verified or further explored in more recent toxicological or epidemiological studies. The small sample size of 6/group further weakens confidence in this result. Therefore, the study in workers by Nielson *et al.* (1988, 1991) was chosen as the basis for the REL for PA.

VIII. References

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